



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 062908/0112

Applicant: Ronald M. PERKES et al.  
Title: NEW-MEDIA MONETARY ENGINE BUSINESS METHOD  
Serial No.: 09/978,589  
Filed: October 18, 2001  
Examiner: Unassigned  
Art Unit: 2167

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(b) within three (3) months of the filing date of the above-identified application.

**RELEVANCE OF EACH DOCUMENT**

Each of the cited references is a U.S. patent. Thus, according to MPEP §609, no relevance needs to be provided in order to have these references considered.

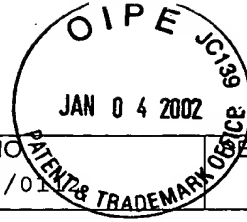
Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

January 4, 2002  
Date

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| Form PTO-1449<br>(MODIFIED)   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.                     | SERIAL NO.     |
|   |  | 062908/01                            | 09/978,589     |
|   |  | APPLICANT<br>Ronald M. PERKES, et al |                |
| <b>INFORMATION DISCLOSURE CITATION</b><br><br>Date: (TO BE INSERTED)<br>(Use several sheets if necessary) |  | FILING DATE                          | GROUP ART UNIT |
|   |  | October 18, 2001                     | 2167           |

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U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | REF | DOCUMENT<br>NUMBER | DATE       | NAME             | CLASS | SUB-<br>CLASS | FILING DATE<br>IF<br>APPROPRIATE |
|---------------------|-----|--------------------|------------|------------------|-------|---------------|----------------------------------|
|                     | A1  | 5,260,778          | 11/09/1993 | KAUFFMAN et al.  | 358   | 86            |                                  |
|                     | A2  | 5,532,735          | 07/02/1996 | BLAHUT et al.    | 348   | 13            |                                  |
|                     | A3  | 5,604,542          | 02/18/1997 | DEDRICK          | 348   | 552           |                                  |
|                     | A4  | 5,734,838          | 03/31/1998 | ROBINSON et al.  | 395   | 214           |                                  |
|                     | A5  | 5,774,870          | 06/30/1998 | STOREY           | 705   | 14            |                                  |
|                     | A6  | 5,794,210          | 08/11/1998 | GOLDHABER et al. | 705   | 14            |                                  |
|                     | A7  | 5,838,314          | 11/17/1998 | NEEL et al.      | 345   | 327           |                                  |
|                     | A8  | 5,855,008          | 12/29/1998 | GOLDHABER et al. | 705   | 14            |                                  |
|                     | A9  | RE 36,116          | 02/23/1999 | McCARTHY         | 705   | 16            |                                  |
|                     | A10 | 5,907,831          | 05/25/1999 | LOTVIN et al.    | 705   | 14            |                                  |
|                     | A11 | 6,057,872          | 05/02/2000 | CANDELORE        | 348   | 3             |                                  |

FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION |    |
|--|-----|--------------------|------|---------|-------|---------------|-------------|----|
|  |     |                    |      |         |       |               | YES         | NO |
|  |     |                    |      |         |       |               |             |    |
|  |     |                    |      |         |       |               |             |    |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.